

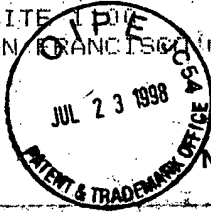


UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
097065-330	04/23/98	WALKER	A 2500-097052

0242/0520
MAJESTIC PARSONS SIEBERT & HSUE
FOUR EMBARCADERO CENTER
SUITE 100
SAN FRANCISCO, CA 94111-4106
NOT ASSIGNED
2744



DATE MAILED: 05/20/98

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ small entity (statement filed) ☐ non-small entity is \$ 790.

☒ 1. The statutory basic filing fee is:

- ☒ missing.
☐ insufficient.

Applicant must submit \$ 790 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ 170, including any multiple dependent claim fees, are required.

\$ _____ for _____ independent claims over 3.

\$ _____ for _____ dependent claims over 20.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unexecuted.
☐ does not cover the newly submitted items.
☐ does not identify the application to which it applies.
☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

07/28/1998 - This application does not comply with the Sequence Rules 37 CFR 1.821-1.825.
See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

01 FC: 2059. OTHER: 65.00 OP

02 FC: 201 395.00 OP

03 FC: 201 35.00 OP
Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

BEST AVAILABLE COPY



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#22
9/20/98
Receipt

In re Patent Application of)

Ameae M. Walker)

Serial No.: 09/065,330)

Filed: April 23, 1998)

For: PROLACTIN ANTAGONISTS AND)
USES THEREOF)

Group Art Unit: 1646

Examiner:
Christine Saoud, Ph.D.

RECEIVED

NOV 2 1998

MAIL ROOM
SERVICE CENTER

San Francisco, California

Assistant Commissioner of Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on July 20, 1998.

Sarah Lyn Torres

Signature

Date

7/20/98

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98 AUG - 7 AM 8:02
GROUP 2700

REQUEST FOR CORRECTED FILING RECEIPT

Sir:

Applicant hereby respectfully requests the issuance of a corrected filing receipt. A copy of the official filing receipt received from the Patent Office is attached. An error appears in the official receipt in that the inventor's name is incorrectly entered and the inventor's address is

Serial No.: 09/065,330



missing. The filing receipt should read as follows: --AMEAE M. WALKER, RIVERSIDE, CALIFORNIA.--

The correction is not due to any error by applicant and no fee is due.

Dated: July 20, 1998.

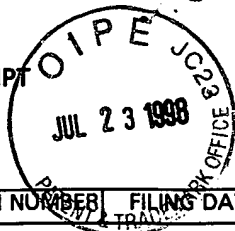
Respectfully submitted,

J. Suzanne Siebert, Reg. No. 28,758
MAJESTIC, PARSONS, SIEBERT & HSUE P.C.
Four Embarcadero Center, Suite 1100
San Francisco, California 94111-4106
Telephone: (415) 248-5500
Facsimile: (415) 362-5418

Atty. Docket: 2500.097US2

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FILING RECEIPT



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTORNEY DOCKET NO.	DRWGS	TOT CL	IND CL
09/065,330	04/23/98	2744	\$0.00	2500.097US2	2	10	3

MAJESTIC PARSONS SIEBERT & HSUE
FOUR EMBARCADERO CENTER
SUITE 1100
SAN FRANCISCO CA 94111-4106

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Application Processing Division's Customer Correction Branch within 10 days of receipt. Please provide a copy of the Filing Receipt with the changes noted thereon.

Applicant(s)

AMEAAE WALKER.

CONTINUING DATA AS CLAIMED BY APPLICANT--

THIS APPLN IS A 371 OF PCT/US97/01435 01/30/97
WHICH IS A CIP OF 08/594,809 01/31/96

FOREIGN FILING LICENSE GRANTED 05/18/98

TITLE

PROLACTIN ANTAGONISTS AND USES THEREOF

PRELIMINARY CLASS: 435

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MPS&H FILE 2500.097452			
MM		PY	MW
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JSH		VY	GC
KN		NEM	MD

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MAY 29 1998

MAJESTIC, PARSONS,
SIEBERT & HSUE

Sector \$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Ameae M. Walker

Serial No.: 09/065,330

Filed: April 23, 1998

For: PROLACTIN ANTAGONISTS AND
USES THEREOF



Attention:

Application Branch

San Francisco, California

Assistant Commissioner of Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner of Patents, Washington, D.C. 20231 on July 20, 1998.

Sarah Lyn Torres

Sarah Lyn Torres
Signature

7/20/98
Date

TRANSMITTAL OF MISSING PARTS

Sir:

Transmitted herewith are the missing parts of the above-identified application:

1. A Patent Application Declaration.
2. A Power of Attorney by Assignee.

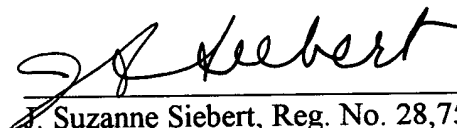
Serial No.: 09/065,330

3. An Assignment by the inventor to The Regents of the University of California, Form PTO-1595, and a check in the amount of \$40.00 to cover its recordal fee.
4. A Certificate Under 37 C.F.R. Section 3.73(b) Establishing Right of Assignee to Take Action.
5. A copy of the Notice to File Missing Parts of Application, and a check in the amount of \$65.00 to cover the filing fee.
6. A disclosure statement, Form PTO-1449, and copies of the references cited therein.
7. A verification of small entity status.
8. A check in the amount of \$530.00 to cover the basic filing fee and additional claim fee.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 13-1030. A duplicate copy of this sheet is enclosed.

Dated: July 20, 1998.

Respectfully submitted,



J. Suzanne Siebert, Reg. No. 28,758
MAJESTIC, PARSONS, SIEBERT & HSUE P.C.
Four Embarcadero Center, Suite 1100
San Francisco, California 94111-4106
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